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Attorney Docket No.: 016770-007100US

Commissioner for Patents
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Alexandria, VA 22313-1450

on MARCH 16, 2005 TRADERING

TOWNSEND and TOWNSEND and CREW LLP

By: Jua Kartall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James Fink

Application No.: 10/828,765

Filed: April 20, 2004

For: VENTILATION SYSTEMS AND METHODS EMPLOYING AEROSOL

GENERATORS

Examiner: Lewis, Aaron J.

Art Unit: 3743

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

James Fink

Application No.: 10/828,765

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mart C. Matthews Reg. No. 26,201

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

MYM:nmb 60437310 v1

or form 1449B/PTO Complete if Known 10/828,765 Application Number INFORMATION DISCLOSURE April 20, 2004 Filing Date STATEMENT BY APPLICANT Fink, James First Named Inventor

(use as many sheets as necessary)

Sheet

Art Unit

Examiner Name

Attorney Docket Number

3743

Lewis, Aaron J. 016770-007100US

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	C1	DUARTE, ALEXANDER G. et al. "Inhalation Therapy During Mechanical Ventialation" Respiratory Care Clinics of North America, Aerosol Therapy, June 2001, pp. 233-259, Vol. 7, No. 2.				
	C2	FINK, JAMES B. et al. "Aerosol Therapy in Mechanically Ventilated Patients: Recent Advances and New Techniques" Seminars in Respiratory and Critical Care Medicine, 2000, pp. 183-201, Vol. 21, No. 3.				
	СЗ	FINK, JAMES B. et al. Diagram from and abstract of article entitled "Optimizing efficiency of nebulizers during mechanical ventilation: The effect of placement and type of ventilator circuit" Chest, October 1999, 116:312S.				
	C4					
	C 5					

			
Examiner		Date	
Signature	·	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

MAR 2 1 2005 PORTION OF THE PARTY OF THE PAR			PTO/SB/21 (09-04)
TRANSMITTAL	Application Number	10/828,765	
TRANSMITTAL	Filing Date	April 20, 2004	
FORM	First Named Inventor	Fink, James	
	Art Unit	3743	
(to be used for all correspondence after initial filing)	Examiner Name	Lewis, Aaron J.	
Total Number of Pages in This Submission	Attorney Docket Number	016770-007100US	

			ENCLOS	URES (Ch	eck all that apply	1)		
	Fee Transmittal Fom	n	Drawing(s)			After Allowance Communication to TC Appeal Communication to Board		
	Fee Attached	d	Licens	sing-related Pap	ers		of Appeals and Interferences	
	Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund		Return	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Postcard, Copies of three (3) cited ices		
	Information Disclosu	re Statement	CD, Number of CD(s)			•		
		Landscape Table on CD						
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Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53								
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Firm N	Firm Name Townsend and Townsend and Crew LLP						·	
Signature Markey			Walker	<u> </u>				
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Date		3-16-2	-005	•	Reg. No.	26,20	1	
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